

I hereby certify that this correspondence is being deposited with the United States Postal Service, with sufficient postage, as first class mail in an envelope addressed to:

Commissioner for Patents
Box Missing Parts
Washington, D.C. 20231
on January 28, 2002

Date of Deposit

Robert N. Carpenter

Name of applicant, assignee or
Registered Representative

Robert Carpenter

Signature

January 28, 2002

Date of Signature

COPY OF PAPERS
ORIGINALLY FILED

Case No. 7103/205

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Youlin J. Li, et al.

Serial No.: 09/938,056

Filed: August 23, 2001

For: MULTI-STEP POLISHING
SYSTEM AND PROCESS OF
USING SAME

Attention: Manager,
Application Branch

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION
FILING DATE GRANTED**

Commissioner for Patents
Washington, D.C. 20231

Attn.: Box Missing Parts

Dear Sir:

In accordance with the Notice to File Missing Parts of Application Filing Date Granted dated September 26, 2001, a copy of which is attached, enclosed herewith for filing are the following documents:

- ☒ Four fully executed Declarations for Patent Application for the above-referenced patent application.

- ☒ Applicant is:
- ☐ a small entity, verified statement is:
- ☐ attached
- ☐ already filed
- ☒ other than small entity
- ☐ Checks totaling \$_____ for:
- ☐ Filing Fee of \$_____.
- ☐ Surcharge of \$_____.
- ☐ Additional Claim Fees of \$_____.
- ☒ Petition for Extension of Time (37 C.F.R. § 1.136(a)) to file missing parts (in duplicate).
- ☒ The Commissioner is hereby authorized to charge the \$130.00 declaration surcharge to Deposit Account No. 23-1925. A duplicate copy of this paper is enclosed.
- ☒ The Commissioner is hereby authorized to charge any deficiencies in fees or credit overpayment to Deposit Account No. 23-1925. A duplicate copy of this paper is enclosed.

Respectfully submitted,

Dated: January 28, 2002



Robert N. Carpenter
Registration No. 40,409
Attorney for Applicant(s)

BRINKS HOFER GILSON & LIONE
P.O. BOX 10395
CHICAGO, IL 60610
(312)321-4200



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set for 3

TRANSMITTAL LETTER			Case No. 7103/205
Serial No. 09/938,056	Filing Date August 23, 2001	Examiner	Group Art Unit 2812
Inventor(s) Youlin J. Li, et al.			
Title of Invention Multi-Step Polishing System And Process of Using Same			

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is Petition and Fee for Extension of Time (2), Response to Notice to File Missing Parts of Application (2), Copy of Notice to File Missing Parts of Nonprovisional Application, Four Declarations, Postcard Receipt.

- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- ☒ Petition for a 2 month extension of time.
- ☐ No additional fee is required.
- ☐ The fee has been calculated as shown below:

	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra
Total		Minus		
Indep.		Minus		
First Presentation of Multiple Dep. Claim				

Small Entity	
Rate	Add'l Fee
x \$9 =	
x 42 =	
+ \$140 =	
Total add'l fee	\$

Other Than Small Entity	
Rate	Add'l Fee
x \$18 =	
x \$84 =	
+ \$280 =	
Total add'l fee	\$

- ☒ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$530.00 for the extension fee (\$400.00) and surcharge (\$130.00). A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$_____ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Robert N. Carpenter

Robert N. Carpenter
Registration No. 40,409
Attorney for Applicant

BRINKS HOFER GILSON & LIONE
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CHICAGO, ILLINOIS 60610
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Date: 1/28/02
rev. Dec.-00

Signature: *Robert N. Carpenter*

COPY OF PAPERS
ORIGINALLY FILED

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

FEB 14 2002

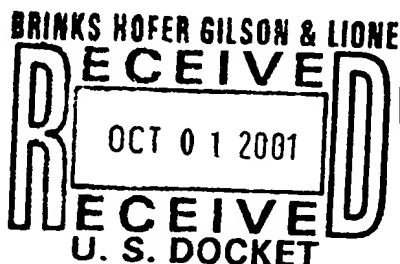
09/938,056

08/23/2001

Youlin J. Li

7103/205

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CHICAGO, IL 60610

CONFIRMATION NO. 1652

FORMALITIES LETTER



OC000000006795984

Date Mailed: 09/26/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE